

## Manage inventory and client inquiries more effectively

Ipreo's Axe Management functionality improves how trading and sales teams manage their inventory and client inquiries. Seamlessly match inquiries and positions to identify trading opportunities faster and more strategically in one easy-to-use application.

### Manage Inventory and Client Inquiries

- z Create, edit, track and dynamically share client inquiries and trading desk positions, enabling sales to work the most updated inventory
- z Set granular permissions to control who can view, create and edit inquiries (including trader pricing, size and comments)
- z Match interest of client inquiries between clients, sales and trading teams through robust search and filtering capabilities
- z Streamline communication between trading and sales and within the different sales coverage teams
- z Simultaneously keep inquiries on traders' radar and trading desk positions in front of sales people

Filter By: Bond Name		Enter a Bond Name		Search		Reset		Actions:	
Name	Account Name	Type	Last Update	Last Updated By	Created Date	Author	Description	State	
<input type="checkbox"/>	<a href="#">IG/HY Corps</a>	<a href="#">M&amp;G Investment Managem...</a>	Client	10/12/2011 11:49:44 AM	neal@ipreocm	8/25/2011	neal@ipreocm	<input checked="" type="checkbox"/>	
<input checked="" type="checkbox"/>	<a href="#">Corps for the Day</a>	<a href="#">Lord Abbett &amp; Company...</a>	Client	10/12/2011 11:49:25 AM	neal@ipreocm	7/19/2011	neal@ipreocm	<input checked="" type="checkbox"/>	
<input type="checkbox"/>	<a href="#">High Yield - Daily</a>		Trader	10/12/2011 11:49:05 AM	neal@ipreocm	8/12/2011	neal@ipreocm	<input checked="" type="checkbox"/>	
<input type="checkbox"/>	<a href="#">Munis for Allstate</a>	<a href="#">Allstate Investment Ma...</a>	Client	10/11/2011 11:38:16 AM	neal@ipreocm	9/23/2011	neal@ipreocm	<input checked="" type="checkbox"/>	

Viewing: Corps for the Day Save Reset

Filter By: Keyword		Enter a Search Word		Search		Reset		Actions:			
Security Name	CUSIP	ISIN	Coupon	Maturity	Bid Price	Offer Price	Bid Size	Offer Size	Comments	Last Modified	State
<input type="checkbox"/>	<a href="#">Clear Channel Commun...</a>	184502BE1	US184502BE16	11.000	8/1/2016	88.750		1000		10/12/2011 11:49:25 AM	<input checked="" type="checkbox"/>
<input type="checkbox"/>	<a href="#">Clear Channel Commun...</a>	184502BB7	US184502BB76	10.750	8/1/2016	75.250		1000		10/12/2011 11:43:09 AM	<input checked="" type="checkbox"/>
<input type="checkbox"/>	<a href="#">Sprint Nextel Corpor...</a>	852061AD2	US852061AD21	6.000	12/1/2016	99.000				8/12/2011 12:58:35 PM	<input checked="" type="checkbox"/>

### Cross Client Inquiries to Ownership Data

- z Leverage Ipreo's proprietary ownership data to match desk positions or client inquiries to the most targeted trade opportunities
- z Determine the most active aggregate players in your Axe through Ipreo's proprietary "Matchers Report"
- z Access matches from the portfolios of all accounts, not just those provided by the client

Axe List Name	Corps for the Day	Total # of Accounts Matched	461	Last Update	10/12/2011 11:49:25 AM			
Axe Owner	neal@ipreocm	Count of Bonds Matched	55	Axe Type	Client			
Description		Aggregate Par Held (USD,mm)	13,268.25					
Show: <input checked="" type="radio"/> Institutions <input type="radio"/> Funds In Lists: <span>Select List</span> <input type="text" value="Enter an Account Name"/> <span>Search</span> <span>Reset</span>								
Displaying 461 Accounts								
Account Name	Functions	Status	Primary Institution Type	Count Of Bonds (Selected Bonds)	Aggregate Par Held (Selected Bonds) (USD, mm)	Aggregate Par Change (Selected Bonds) (USD, mm)	Aggregate Position Date (Selected Bonds)	FI Assets Under Management (USD,mm)
<input type="checkbox"/> <a href="#">PIMCO - Pacific Investment Management Company</a>			Investment Manager-Mutual Fund	38	961.93	-3.65	9/29/2011	688,779.01
<input type="checkbox"/> <a href="#">Allstate Investment Management Co.</a>			Insurance	28	272.23	4.91	6/29/2011	71,375.73
<input type="checkbox"/> <a href="#">J.P. Morgan Investment Management, Inc.</a>			Investment Manager-Mutual Fund	27	607.09	-22.38	8/30/2011	205,920.07

**Integrated Axe Management tools throughout the BD Application**

- z Clearly identify matches from inventory or other client inquiries from bond ownership searches

**Continental Resources, Inc. 8.25% 10/2019**

Axe/List Name	Type	Account Name	Bid Price	Offer Price	Bid Size	Offer Size	Last Update	Last Updated By
Corps for the Day	Client	<a href="#">Lord Abbett &amp; Company, LLC</a>	110.125		500		10/12/2011 3:50:35 PM	Neal Nemeroff
High Yield - Daily	Trader		109.125		1000		10/12/2011 3:51:32 PM	Neal Nemeroff
High Yield, Energy	Sales						10/12/2011 3:54:54 PM	Neal Nemeroff
IG/HY Corps	Client	<a href="#">M&amp;G Investment Management, LTD</a>	109.750		1000		10/12/2011 3:49:38 PM	Neal Nemeroff

- z Instantly generate updated historical log of inquiries directly from account profile

Overview Holdings Funds People **Axes** Actions Lists CDF Notes

Bond Name  [Search](#) [Reset](#)

Name	Account Name	Type	Last Update	Last Updated By
<a href="#">BWIC</a>	<a href="#">Lord Abbett &amp; Company...</a>	Client	10/11/2011 11:37:55 AM	neal@ipreocm
<a href="#">Agency MBS Inquiry</a>	<a href="#">Lord Abbett &amp; Company...</a>	Client	7/28/2011 10:50:00 AM	neal@ipreocm
<a href="#">Corps for the Day</a>	<a href="#">Lord Abbett &amp; Company...</a>	Client	10/12/2011 11:49:25 AM	neal@ipreocm
<a href="#">test 5</a>	<a href="#">Lord Abbett &amp; Company...</a>	Client	8/10/2011 5:54:16 PM	neal@ipreocm

- z Quickly view securities with outstanding inquiries from the account portfolio view

<a href="#">Toronto-Dominion Bank 2.2% 07/2015</a>	2.200	7/29/2015	Corporate	Canada	United States Dollar	64.80
<a href="#">NiSource Finance Corporation 10.75% 03/2016</a>	10.750	3/15/2016	Corporate	BBB-	United States Dollar	50.11
<a href="#">Continental Resources, Inc. 8.25% 10/2019</a>	8.250	10/1/2019	Corporate	BB	United States Dollar	59.66
<a href="#">Nuveen Investments, Inc. 10.5% 11/2015</a>	10.500	11/15/2015	Corporate	CCC	United States Dollar	60.75

**About Ipreo**

The marriage of deep industry expertise and dynamic innovation, Ipreo brings market-leading intelligence, robust technology, and superior customer service to all participants in the global capital markets. Bringing together state-of-the-art new issuance systems and premier global financial and investor data, Ipreo provides innovative technology and superior insights to all participants in the capital-raising process.